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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No. .... 09/670,981  
3 Filing Date ..... 9/20/2000  
4 Inventorship ..... Falcon et al.  
Applicant ..... Microsoft Corporation  
Attorney's Docket No. .... MS1-658US  
Title: Systems and Methods for Controlling the Number of Clients that Access a Server

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TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

6 To: The Commissioner of Patents & Trademarks  
7 Washington, D.C. 20231  
8 From: James R. Banowsky (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
9 421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

10 The following enumerated items accompany this transmittal letter and are being submitted for the  
11 matter identified in the above caption.

- 12 1. Transmittal letter including Certificate of Mailing  
13 2. Return Post Card  
14 3. Request for Correction of Filing Receipt w/attachments

15 Large Entity Status [x] Small Entity Status [ ]

16 *Applicant hereby requests an extension of time in any case such an extension is necessary. The fee  
17 should be charged to the Deposit Account indicated below.*

18 The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit  
19 Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any  
20 processing fees, including any necessary extension fees under 37 CFR 1.17.

21 Date: 2-1-01

By: James R. Banowsky

James R. Banowsky  
Reg. No. 37,773

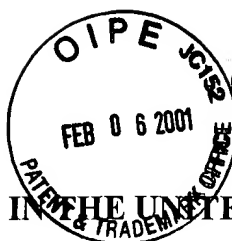
CERTIFICATE OF MAILING

22 I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal  
23 Service as first class mail in an envelope addressed to The Commissioner of Patents & Trademarks,  
24 Washington, D.C. 20231, on the below-indicated date

25 Date: 2/1/2001

By: Cheryl K. Boies

Cheryl K. Boies



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application Serial No. ....09/670,081  
Filing Date .....9/26/2000  
Inventor.....Falcon et al.  
Applicant.....Microsoft Corporation  
Attorney's Docket No. ....MSI-658US  
Title: Systems and Methods for Controlling the Number of Clients that Access a  
Server

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REQUEST FOR CORRECTION OF FILING RECEIPT

To: Application Processing Division – Customer Correction Branch  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

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From: James R. Banowsky (Tel. 509-324-9256; Fax 509-323-8979)  
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421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

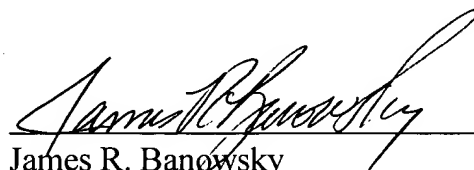
There is a typographical error in the third listed Applicant on the Filing Receipt. The correct name of the Applicant is **Stephen R. Falcon**. A copy of the filed Declaration is enclosed for verification.

A copy of the filing receipt with the correction noted is also enclosed. Applicant respectfully requests correction and issuance of a corrected filing receipt.

Respectfully submitted,

Dated: 2-1-01

By:

  
James R. Banowsky  
Reg. No. 37,773  
(509) 324-9256

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 4393

<b>SERIAL NUMBER</b> 09/670,981	<b>FILING DATE</b> 09/26/2000 <b>RULE</b>	<b>CLASS</b> 713	<b>GROUP ART UNIT</b> 2131	<b>ATTORNEY DOCKET NO.</b> MS1-658US
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## APPLICANTS

Vellore T. Vetrivelkumaran, Redmond, WA;  
Raju Gulabani, Redmond, WA;  
Stephen R. Falcon, Woodinville, WA;  
Neel Malik, Seattle, WA;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE  
GRANTED \*\* 11/18/2000

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY WA	SHEETS DRAWING 4	TOTAL CLAIMS 51	INDEPENDENT CLAIMS 8
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____	Initials _____		

## ADDRESS

James R Banowsky  
Lee & Hayes PLLC  
421 W Riverside Avenue  
Suite 500  
Spokane ,WA 99201

## TITLE

Systems and methods for controlling the number of clients that access a server

<b>FILING FEE RECEIVED</b> 1798	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
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		<input type="checkbox"/> Other _____
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/670,981	09/26/2000	2131	1798	MS1-658US	4	51	8

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## FILING RECEIPT



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MAY 9 - 2001 Date Mailed: 01/25/2001

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Vellore T. Vetrivelkumaran, Redmond, WA ;  
Raju Gulabani, Redmond, WA ;  
Steve R. Falcon, Woodinville, WA ; *Stephen R. Falcon*  
Neel Malik, Seattle, WA ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 11/18/2000

## Title

Systems and methods for controlling the number of clients that access a server

## Preliminary Class

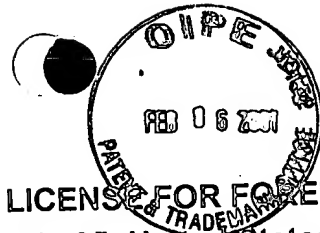
713

Data entry by : MASON, JAMES

Team : OIPE

Date: 01/25/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

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